

GIPS® Compliance Notification Period Is Open. Submit Your Information Today!

Is your firm one of the 1,653 that claims compliance with the GIPS standards and intends to continue claiming compliance? Then it is time to submit your information from 2017. Firms that claim compliance with the GIPS standards are required to notify CFA Institute annually of their continuing compliance. Returning firms are urged to locate their **previously submitted record**, edit as necessary, and resubmit. The deadline to submit is **30 June 2018**. For questions related to this requirement, please contact gipscompliance@cfainstitute.org.

Recruiting Volunteers for GIPS Committee

We are actively recruiting volunteers to serve on the United States Investment Performance Committee (USIPC) as well as a new chairperson. The USIPC serves as the United States GIPS Sponsor and acts as a forum for stakeholders of the GIPS standards. The USIPC is tasked with promoting the adoption, implementation, and development of the GIPS standards as industry best practice for calculating and presenting investment performance. In addition, the committee discusses pertinent issues faced by investment industry professionals in the US market as well as develops resources, provides feedback on technical guidance, and promotes the GIPS standards through outreach activities. If you have any questions, please contact volunteers@cfainstitute.org.

To apply, please log in to (or register with) the CFA Institute **Volunteer Management system** and choose the role you are interested in under “Open Opportunities.” The deadline to submit applications is 25 April 2018 by 17:00 EDT.

Ascendant Compliance Conference Features GIPS Panelist and Ethics Training

Register for **Compliance 20/20: Insights Into Innovative Compliance Programs** on 16–18 April in Charleston, South Carolina, to better understand the spectrum of issues your compliance program should address. You’ll hear from regulators, chief compliance officers, legal counsel, service providers, and other industry experts, including the GIPS standards’ own Antonella Puca, CFA, CIPM. Her panel session, “Private Fund Fees and Expenses – What Compliance Professionals Need to Know,” will address the treatment of fees in private funds and the role the GIPS standards can play in ensuring that fund clients are treated appropriately. Other confirmed speakers include Peter Driscoll, director of US SEC Office of Compliance Inspections and Examinations; David Tittsworth, counsel at Ropes & Gray; Steven Stone, partner at Morgan, Lewis & Bockius; and David Blass, partner at Simpson Thacher & Bartlett.

Organized around eight content tracks—Private Funds, Registered Investment Companies, Portfolio Management & Trading, Cybersecurity, Compliance Program, Ethics, Hot Topics, and Career Development—the conference gives attendees the option of following a predesigned

path or customizing their experience. CFA Institute will kick things off by leading a pre-conference workshop, “Ethical Fitness: A Bootcamp in Ethics Training.” The session will explore situations in which ethics play a key role in the decision-making process by evaluating and discussing a variety of case studies.

CFA Institute members are eligible for a \$100 discount on the full-conference registration. To take advantage of the offer, [register now](#) using the discount code CFAI.

Staying Current

For the latest GIPS news, follow us on [Twitter](#) @MarketIntegrity. And visit our [website](#) for more information and resources, including Q&As, webcasts, and podcasts featuring a variety of topics and experts.

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