

NEWSLETTER

Global Investment Performance Standards

June 2024 Edition

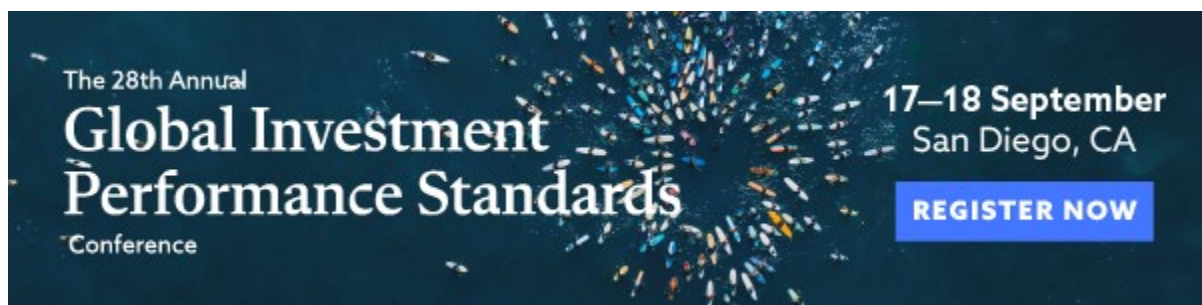
Register Now and Save! 28th Annual GIPS® Standards Conference

Whether you attend in San Diego or watch recordings in the Digital Content Package, the [28th Annual GIPS® Standards Conference](#) is essential for performance and compliance professionals. Hear from asset allocators, regulatory experts, and compliance consultants who will address critical issues and major developments in their fields. This year's agenda includes sessions on best practices for complying with the GIPS standards, the SEC Marketing Rule and related exam matters, and the use of AI in investment management.

Don't miss the best prices available—register before 30 June.

[Register Today](#)

Is your firm interested in being a conference sponsor? Contact Jonathan.Gentry@cfainstitute.org.



Annual GIPS® Compliance Notification Form Deadline Is 30 June 2024— Final Reminder

Organizations that claim compliance with the GIPS standards are required to notify CFA Institute of their claim of compliance by submitting a GIPS Compliance Notification Form. Organizations that are newly claiming compliance with the GIPS standards must submit the GIPS Compliance Notification Form before publicly claiming compliance.

Once an organization claims compliance, it must submit an updated GIPS Compliance Notification Form annually, between 1 January and 30 June of each year. Required information includes the name of the organization, contact details for a primary and secondary contact, and whether or not the organization has been verified within the past 24 months.

Answers to other questions, including types of portfolios managed and total assets managed, are optional. All information provided must be as of the most recent 31 December.

Organizations submitting a GIPS Compliance Notification Form for the first time should use the [Initial Form link](#). Organizations submitting an annual update should use the [Update Form link](#).

To begin the annual update process, enter your organization's name and one individual's contact information from the prior form. A link to the prior form will be emailed to this contact, who can then update the form.

If you receive an error message, or both contacts from the prior form are no longer with your organization, please email us at gipscompliance@cfainstitute.org, and we will assist you.

Annual Asset Manager Code™ Compliance Notification Form Deadline Is 30 June 2024—Final Reminder

Many organizations that claim compliance with the GIPS standards also claim compliance with the Asset Manager Code™ (AMC). Organizations that claim compliance with the AMC are also required to notify CFA Institute annually, similar to the GIPS standards annual notification process. Notification forms are due 30 June 2024. Firms and asset owners can find the AMC Compliance Notification Form on the CFA Institute Research and Policy Center website.

Organizations submitting an AMC Compliance Notification Form for the first time should use the [Initial Form link](#). Organizations submitting an annual update should use the [Update Form link](#).

To begin the annual update process, enter your organization's name and one individual's contact information from the prior form. A link to the prior form will be emailed to this contact, who can then update the form.

If you receive an error message, or both contacts from the prior form are no longer with your organization, please email us at industrystandards@cfainstitute.org, and we will assist you.

Calling All Asset Owners

In 2020, the United States Investment Performance Committee (USIPC) conducted a survey of pension funds, endowments, and foundations (together, asset owners) in the United States to determine their familiarity with the GIPS standards; if they claim compliance, or plan to claim compliance, with the GIPS standards; and if they require, or inquire about, GIPS compliance when selecting external managers.

CFA Institute has created a [follow-up survey](#) of asset owners to understand the trends in the information learned four years ago, expand the reach from the United States to global, and learn more about additional performance-related topics, including the types of returns and benchmarks used.

We welcome participation from all asset owners, whether they do or do not claim compliance with the GIPS standards. This survey is anonymous, and any data released will be in aggregate form. Entity-specific information will never be released to any party outside of CFA Institute. The survey is open through 31 July 2024. We greatly appreciate your participation, which will assist us in providing valuable information to the asset owner community. The survey should take no more than 15 minutes to complete.



Research and Policy Corner Net Zero

On 4 June 2024, CFA Institute Research and Policy Center published a report titled “[Net Zero in the Balance: A Guide to Transformative Industry Thinking](#).” Based on in-depth interviews with more than 20 leading thinkers and investors, this report explores the future of net-zero investing and stresses the importance of mindset shifts and innovative strategies to achieve net zero.

It is a practical resource for investment industry leaders and organizations that choose to engage in net-zero investing. The aim in publishing this report is to help the investment industry understand and fully implement net-zero investing. It provides research, insights, and tools for industry leaders, asset managers, asset owners, service providers, and regulators that emphasize the strategic importance of net-zero investing and the imperative for a mindset shift and innovative strategies to achieve net zero without compromising returns.

CFA Institute also published its [policy positions](#) to inform and shape the discussion around how net-zero considerations can be incorporated into investment decision-making in a manner consistent with fiduciary duty and clients’ directions.

Private Markets Report

New research published by the CFA Institute [Research and Policy Center](#) delves into the increasing relevance of private markets in the context of growing investments from asset allocators, interest from regulators, and pressures to expand access to retail investors.

The report, "[Private Markets: Governance Issues Rise to the Fore](#)," contains new CFA Institute global survey data revealing investment professionals' views about private markets governance and practices, including: conflicts of interest; asymmetry of information; General Partner/Limited Partner relations; transparency; valuation issues; fees and expenses; and regulation.

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